

Summer 2020: Results for Vocational, Technical and Other Qualifications 28th May 2020



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Purpose of the webinar

- The ERF
- Calculation
- Quality Assurance
- Adaptation
- Next Steps for Centres

Extraordinary Regulatory Framework (ERF)

- Consultation ended 8th May 2020
- ERF effective from 22nd May 2020
- Accepted that no one size fits all
- Principles-based framework to support the decisions we will need to make and gives flexibility to take into account the context of our qualifications

The principles of the ERF

1. Issue results to as many learners as possible in spring/summer 2020, provided that those results are based on evidence which ensures that they are sufficiently reliable and valid
2. Ensure each result is as reliable as possible
3. Ensure the approach minimises burden and maximise deliverability as far as possible
4. Maintain standards, as far as possible, within the same qualification in line with previous years
5. Maintain standards, as far as possible, across similar qualifications made by us and other AOs

Which qualifications are in scope?

- Qualifications eligible for public funding including
 - Entry to level 6
 - Designed for progression to FE/HE or to and through employment
- Check the [Ofqual App](#)



Example:

Please enter an awarding organisation (if known)

Gateway Qualifications

Please enter a qualification level (if known)

Please enter a qualification

Gateway Qualifications Entry Level Award in Employability Skills (Entry 3)

Reset inputs

Qualification: Gateway Qualifications Entry Level Award in Employability Skills (Entry 3)

Qualification Number: 603/4230/4

Awarding Organisation: Gateway Qualifications

Qualification Level: Entry Level

Category: Qualifications serving a mixed purpose

Most candidates will receive a 'calculated result' for this qualification

This may not apply to every single learner.

Calculated results are arrived at using the following information:

- What grade your tutors think you would most likely have got
- AND/OR grades on work you've already completed
- AND in some cases a rank order of learners at your centre

Which learners will be covered by these arrangements?

- Where qualifications are in scope, as far as possible all learners of that qualification are in scope.
- Applies to all learners who were due to sit assessments between 20th March and 31st July 2020.
- Learners who are registered by June 1st for completion by 31st July 2020.

Mitigation approaches

Calculation

- Minimum evidence threshold
- Different sources of evidence
- Overall check on outcomes

Adaptation

- Changing assessment method

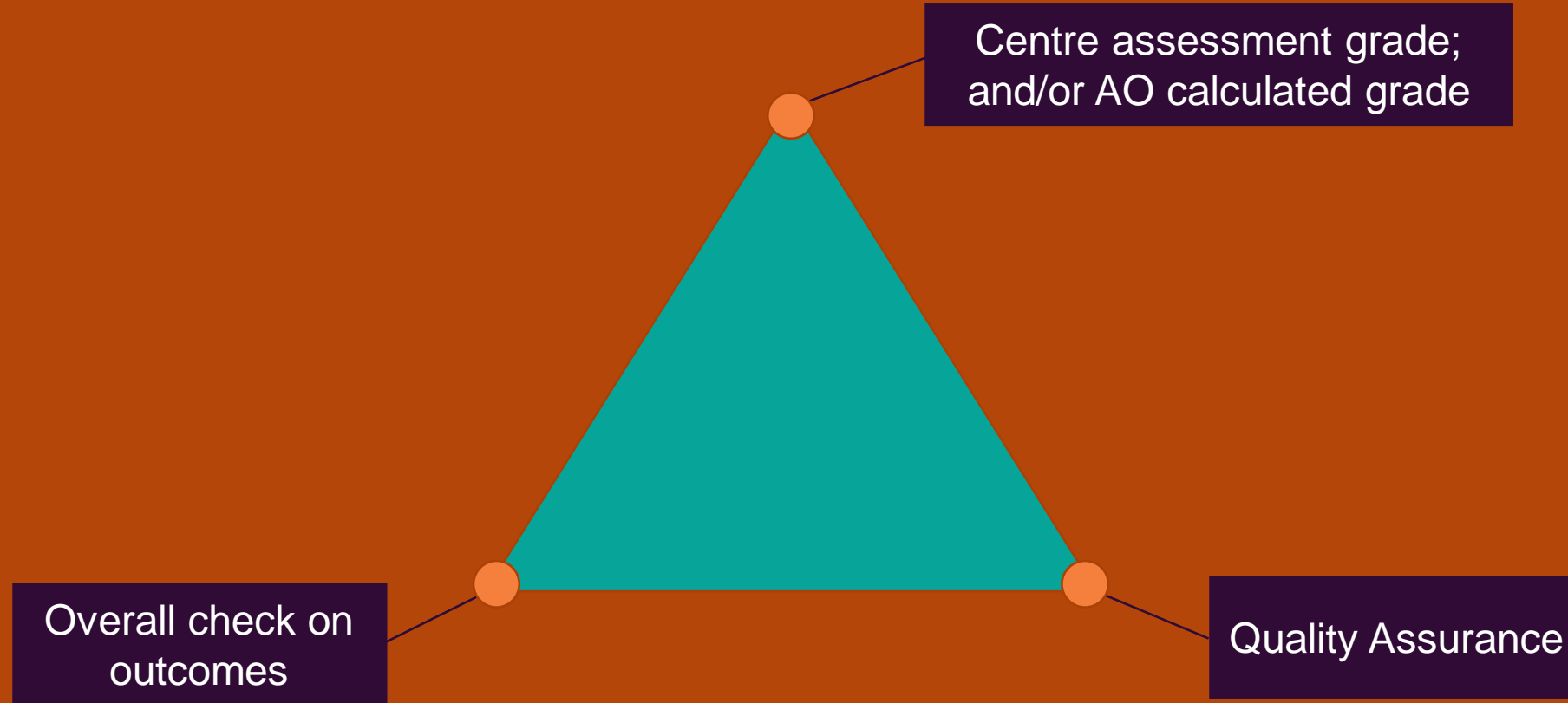
Delay

- Reschedule
- Use as last resort

Principles of calculation

1. To provide learners with the grades that they would have most likely have achieved had they been able to take their assessments in summer 2020
2. To enable the maximum possible number of learners to receive grades based on a principled evidence-based approach, such that in similar situations, similar approaches to calculated results would be used
3. To protect, as far as is possible, learners from being systematically advantaged or disadvantaged, notwithstanding their socio-economic background or whether they have a protected characteristic
4. For the methods to be sufficiently transparent and easy to explain to promote confidence
5. To be deliverable by awarding organisations with sufficient oversight from Ofqual

Calculated Result: 3 elements



Calculated Assessment Grade/Result

- A learner's results should only be estimated by tutors/teachers with **direct experience of teaching that learner** the relevant subject and unit.
- Where **several cohorts are undertaking the same qualification**/specific unit(s), all judgements must be standardised.
- In some cases you may need to approach **other educational professionals** who have worked closely with learners
- You must assume that any **reasonable adjustments** that would have been sought for a learner when taking their assessments, would have been in place

Work completed after 20th March

- May be included as evidence

BUT

- exercise caution
- authenticate the work and consider changes in performance
- Must not compromise wider validity and fairness
- Where centres are continuing to deliver and assess as normal, usual processes will apply.

Minimum Evidence Threshold

For each learner, you should consider at least one piece of valid evidence that demonstrates progress towards the assessment requirements of the component/unit.

We may determine that there is insufficient trusted evidence to safely award a result:

- where there is little or no learner evidence
- insufficient trust in the centre assessment grade
- little opportunity or evidence to QA the centre assessment grade

Ofqual categories of evidence

1. Units that have already been internally assessed and quality assured and externally quality assured (may already have been submitted for certification at unit level)
2. Internally assessed work that has yet to be quality assured
3. Other information provided by the centre using experience of previous cohorts and of the unit/qualification
4. AO data about a qualification: within qualification e.g. intra qualification correlation Historical centre stability data
5. Historical centre data e.g. centre risk profiles, centre outcomes
6. Candidate prior attainment data

Types of evidence for calculation for different qualifications

- Awards Entry 1 to Level 3
- Certificates and Diplomas Entry 1 to Level 3
- ESOL Entry 1 to Level 2
- Graded Qualifications
- Online Multiple Choice assessments

Types of Evidence – Awards Entry 1 to Level

3

1

- a) Completed unit that has been internally and externally quality assured
- b) Completed unit which has been internally quality assured, and the centre has DCS

2

- **a)** Unit(s) that have been internally assessed and internally quality assured but have not yet been subject to external quality assurance (for centres who do not hold DCS).
- **b)** Unit(s) that have been internally assessed but have not yet been subject to internal quality assurance.
- **c)** Partially completed unit(s) where the course has been delivered as a project over a period of time and where a number of interlinked tasks covers multiple units.
- **d)** Unit(s) that have been completed and assessed since March 20th and the evidence can be authenticated.

3

- a) Witness statements relating to observed skills that have not yet been formally assessed but have been demonstrated through other areas of a learner's programme
- b) Learner work demonstrating the skills assessed by the specified unit that has been completed in support of another qualification learning aim
- c) Performance on formative assessments
- d) Learner review and tracking documentation

Types of Evidence – Awards Entry 1 to Level 3

1

- a) Completed unit that has been internally and externally Quality Assured
- b) Completed unit which has been internally quality assured, and the Centre has DCS

Types of Evidence – Awards Entry 1 to Level 3

2

- **a)** Unit(s) that have been internally assessed and internally quality assured but have not yet been subject to external quality assurance (for centres who do not hold DCS).
- **b)** Unit(s) that have been internally assessed but have not yet been subject to internal quality assurance.
- **c)** Partially completed unit(s) where the course has been delivered as a project over a period of time and where a number of interlinked tasks covers multiple units.
- **d)** Unit(s) that have been completed and assessed since March 20th and the evidence can be authenticated.

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- c) Performance on formative assessments
- d) Learner review and tracking documentation

Types of Evidence – Certificate & Diploma

E1

1

- a) Completed units that have been internally and externally quality assured
- b) Completed units that have been internally quality assured and the centre has DCS

2

- a) Units that have been internally assessed and internally quality assured but have not yet been subject to external quality assurance (for centres who do not hold DCS).
- b) Units that have been internally assessed but have not yet been subject to internal quality assurance.
- c) Partially completed units where the course has been delivered as a project over a period of time and where a number of interlinked tasks covers multiple units.
- d) Units that have been completed and assessed since March 20th and the evidence can be authenticated.

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- a) Witness statements relating to observed skills that have not yet been formally assessed
- b) Performance on formative assessments
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Types of Evidence – Certificate & Diploma

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- b) Completed units that have been internally quality assured and the centre has DCS

Types of Evidence – Certificate & Diploma

E1

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- a) Units that have been internally assessed and internally quality assured but have not yet been subject to external quality assurance (for centres who do not hold DCS).
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- c) Partially completed units where the course has been delivered as a project over a period of time and where a number of interlinked tasks covers multiple units.
- d) Units that have been completed and assessed since March 20th and the evidence can be authenticated.

Types of Evidence – Certificate & Diploma

E1

3

- a) Witness statements relating to observed skills that have not yet been formally assessed
- b) Performance on formative assessments
- c) Learner review and tracking documentation

Types of Evidence – Graded Qualifications

L2

1

- a) Completed units that have been internally and externally quality assured
- b) Completed units that have been internally quality assured and the centre has DCS

2

- a) Units that have been internally assessed and internally quality assured but have not yet been subject to external quality assurance (for centres who do not hold DCS).
- b) Units that have been internally assessed but have not yet been subject to internal quality assurance.
- c) Partially completed units where the course has been delivered as a project over a period of time and where a number of interlinked tasks covers multiple units.
- d) Units that have been completed and assessed since March 20th and the evidence can be authenticated.

3

- a) Witness statements relating to observed skills that have not yet been formally assessed
- b) Performance on formative assessments that demonstrates learner achievement at pass, merit or distinction
- c) Learner review and tracking documentation

Types of Evidence – Graded Qualifications

L2

1

- a) Completed units that have been internally and externally quality assured
- b) Completed units that have been internally quality assured and the centre has DCS

Types of Evidence – Graded Qualifications

L2

2

- a) Units that have been internally assessed and internally quality assured but have not yet been subject to external quality assurance (for centres who do not hold DCS).
- b) Units that have been internally assessed but have not yet been subject to internal quality assurance.
- c) Partially completed units where the course has been delivered as a project over a period of time and where a number of interlinked tasks covers multiple units.
- d) Units that have been completed and assessed since March 20th and the evidence can be authenticated.

Types of Evidence – Graded Qualifications

L2

3

- a) Witness statements relating to observed skills that have not yet been formally assessed
- b) Performance on formative assessments that demonstrates learner achievement at pass, merit or distinction
- c) Learner review and tracking documentation

Types of Evidence – ESOL Skills for Life E1-L2

1

- a) Completed unit/qualification that has been internally and externally quality assured
- b) Completed unit /qualification which has been internally quality assured and the Centre has DCS

2

- a) Summative assessment of one or more elements of an externally set assessment that has been Internally assessed unit not yet quality assured e.g. one speaking task, one writing task
- b) Mock examinations using awarding organisation past papers that have been assessed.
- c) Summative assessments that have been completed and assessed since March 20th and the evidence can be authenticated
- d) Performance in previous externally set assessments where the learner did not achieve.

3

- a) Formative assessments that have been submitted to the tutor and assessed
- b) Witness statements relating to observed skills that have not yet been formally assessed but have been demonstrated through other areas of a learner's programme
- c) Learner review and tracking documentation

Types of Evidence – ESOL Skills for Life E1-L2

1

- a) Completed unit/qualification that has been internally and externally quality assured
- b) Completed unit /qualification which has been internally quality assured and the Centre has DCS

Types of Evidence – ESOL Skills for Life E1-L2

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- c) Summative assessments that have been completed and assessed since March 20th and the evidence can be authenticated
- d) Performance in previous externally set assessments where the learner did not achieve.

Types of Evidence – ESOL Skills for Life E1-L2

3

- a) Formative assessments that have been submitted to the tutor and assessed
- b) Witness statements relating to observed skills that have not yet been formally assessed but have been demonstrated through other areas of a learner's programme
- c) Learner review and tracking documentation

Types of Evidence – Online Multiple Choice

1

- Not applicable for these qualifications

2

- a) Performance in externally set tests that suggests that the learner would have achieved the qualification if they had taken a resit in the period up to 31st July 2020

3

- a) Performance on formative assessments. For example classwork that has been assessed that shows that the learner has met some of the learning outcomes. Work could be presented in a variety of formats including written work, oral presentations
- b) Witness statements of discussions in class that confirm coverage of learning outcomes

Types of Evidence – Online Multiple Choice

1

- Not applicable for these qualifications

Types of Evidence – Online Multiple Choice

2

- a) Performance in externally set tests that suggests that the learner would have achieved the qualification if they had taken a resit in the period up to 31st July 2020

Types of Evidence – Online Multiple Choice

3

- a) Performance on formative assessments. For example classwork that has been assessed that shows that the learner has met some of the learning outcomes. Work could be presented in a variety of formats including written work, oral presentations
- b) Witness statements of discussions in class that confirm coverage of learning outcomes

What you need to do

Collate and retain evidence

Internal QA

Complete centre declaration

Submit results (RAC/direct entry)

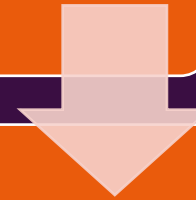
Respond to queries/requests for samples (where relevant)

Information we need from you

- For every learner the units that have been achieved including grade where appropriate
- For every learner the units that have been calculated or achieved through adaptation
- For calculated results the types of evidence used to support their judgement of CAG

What we will do/Quality Assurance

Review evidence used to support judgements



Consider data and information about each centre



Request, where required, further information and/or remote sample

Adaptation

- Small number of qualifications: the majority are ones leading to occupational competence
- Some adaptations subject to agreed approach across AOs e.g. Teaching and Learning qualifications (CET, AET, DET and Assessor)
- Methods of adaptation will include
 - witness statements
 - professional discussions
 - reduction in the number of observations
 - adaptation of conditions under which assessments taken e.g. remote where feasible

Our reporting and decision making

We will maintain a record of:

- reasons why a result cannot be provided
- the method and evidence used to calculate a result
- decisions made in adapting an assessment
- how we've complied with the principles

Timeline

Who	What	When
Centres	Check whether qualifications are in scope and the mitigation agreed	ASAP
Centres	Collate evidence and make judgements	1 st – 21 st June 2020
Centres	Internal QA	From 1 st June 2020
Centres	Access RACs/online direct entry	Anticipated from 8 th June pending Quartz system modifications
Head of Centre	Submits signed declaration	Prior to claims being submitted
Gateway Qualifications	Conduct QA checks	From 8 th June
Gateway Qualifications	Process results	ASAP – usual SLA timelines do not apply

Appeals

Centres and AOs will follow the guidelines set out in Ofqual's *Extraordinary Regulatory Framework: Vocational and Technical Qualifications guidance*.

Appeals will focus on compliance with an awarding organisation's processes.

Any Questions?



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Useful Links

- [Advice for teachers, trainers and tutors; learners, parents and carers; employers and professional bodies](#)
- [Guidance for Centres](#)
- [Guidance for Heads of Centre, Heads of Department and teachers on objectivity in grading and ranking](#)
- [Summer 2020 Qualification Explainer Tool](#)